

CORVINUS CAPITAL

Martin Berkeley LLM, BSc, BA (Hons), IMC, Chartered MCSI

Profile

Acted in over 120 financial mis-selling cases for both claimants and defendants as single or joint expert, in matters relating to investments, wealth management, banking, derivatives and regulation. Chartered Member of the Investment and Securities Institute. Qualified in derivatives and investment management. I have worked for a number of financial institutions including Barclays Wealth and HSBC, also established investment proposition for IFAs for investment managers. LLM in International Financial Regulation - dissertation focussed on financial advice and suitability. Guest lecturer at the University of Reading in Financial Regulation; teach on the MBA Financial Markets course at Manchester Business School. Current Financial Conduct Authority (FCA) Authorisation and teach the UCIS investment master class for Central Law Training. Regularly provide commentary and advice to the media, government and regulatory bodies on related financial issues. I continue to advise businesses, charities and individuals on financial regulation related issues in independent practice.

Career Summary

Corvinus Capital Ltd

2011- Present. *Director*. Expert witness, mediation, consultancy, research and training in investments, banking and regulation. Briefings, training and advisory work to professionals, parliamentarians and regulators. Widely quoted in the international media, including BBC, Le Monde, International Business Times, Observer, International Financing Review, Global Times and Reuters. Winner of Derivatives and Financial Services Expert of the year 2016. Retained as consultant to Vedanta Hedging Ltd.

J M Finn & Co Ltd

2010 – 2011. *Director of Intermediary Sales*. Development the IFA investment proposition.

Barclays Plc

2007 – 2010. *Private Banker*. Financial advice and multi asset class investments for both onshore and offshore High Net Worth clients.

HSBC Plc

2003 - 2007. *Treasury/Commercial Manager*. Interest Rates, FX, derivatives, Money Markets & Credit.

Logica Plc

1997 – 2003. Senior roles in the banking and finance sectors in Asia, Middle East, Americas and Europe. Clients included Central Banks and over 80 banks. Country Manager for Japan & Turkey.

Sagentia (Generics Group) Plc

1993 – 1997. Managing Consultant. Cambridge based business and technology consultancy.

Officer, British Army

1989 – 1993. Troop leader. Operational & junior staff roles, including with the United Nations.

BTD Corp (Zambia)

1985 – 1986. Management Assistant.

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Educational & Regulatory Details

2017 *University of Reading* LLM (International Financial Regulation): Distinction
2014 *Cardiff University* Law School/Bond Solon Civil Expert Witness Certificate
2010 *Chartered Institute of Securities and Investment* awarded Chartered Member status
2007 *CFA Society* - Investment Management Certificate
2005 *The Securities & Investment Institute*, Certificate in Investments (Derivatives)
2005 *Financial Conduct Authority* Registration No. MJB01569
2004 *Manchester Business School*, Advanced Management Achievement Course
2004 *The Open University*, Psychology, BSc
1990 *Royal Military Academy Sandhurst*, Graduate Officer Training
1989 *University of Keele*, Philosophy & Politics, BA (Hons)

Recent Seminars/Papers

- *UCIS Masterclass*, Central Legal Training, 2016
- *Mis-selling case studies*, Guildhall Chambers - Commercial Law Updates, 2016
- *Investment Fees and why they matter*, Manchester Business School, MBA Practical Investing 2017
- *Financial Regulation – What’s the point?* Manchester Business School, MBA Practical Investing 2017
- *Data and investment risks*, Manchester Business School, Bespoke course for overseas banking client 2017
- *Comply or Explain – Principle based regulation*, Reading University Law School, 2017
- *'The Computer Says No' - The Challenges and Opportunities of Technology and Banking* - The Expert Witness Journal Summer 2017, Vol 1, Issue 20 p.11
- *Rules-based vs. principles-based regulation in the UK banking sector. Does Brexit matter?* - Law and Economics Yearly Review, Vol 6, Part 1, 2017, pp.146-169. ISSN 2050-9014
- *A Bank’s Duty of Care* by Danny Busch and Cees Van Dam, - Book Review. Butterworths Journal of International Banking and Financial Law, Vol 33, Issue 2 February 2018
- *The Banker’s duties in the UK and EU regulatory framework: An analysis of the accountability regime.* Law and Economics Yearly Review, (forthcoming March 2018)
- *Do the FCA’s Principles for Business require a firm to give the best advice?* Butterworths Journal of International Banking and Financial Law (forthcoming April 2018)

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